

## State of Indiana Policy and Standards

### Review and Evaluation of State Policy

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**Standard ID**

IOT-CS-SEC-006

**Published Date**

9/1/2016

**Effective Date**

9/1/2016

**Last Updated**

9/27/2016

**Next Review Date**

9/27/2017

**Policy**

00.0 Introduction

00.2 Review and Evaluation

**Purpose**

Establishes a review process for the State's body of Policy as well as requirements for agency compliance

**Scope**

IOT Supported Entities

**Statement**

All new State-Wide IOT policies and standards must be reviewed by the Policy Management Committee and approved by the State's Chief Information Officer (CIO) prior to the effective date of the publication. The Policy Management Committee consists of IOT's Executive Team, Director of Risk & Compliance, Director of Communications and General Counsel. All new Agency-Wide (Tier 2) Control Standards shall be reviewed by Agency Executive Management as well as either the State's Chief Information Security Officer (CISO) or the Director of Risk & Compliance prior to publishment. Below are the on-going requirements once a Control Standard is published:

- All Control Standards shall be reviewed by the Control Standard Owner(s) no less than annually and re-published following appropriate approvals
- Tier 1 Control Standards shall have an 'Agency Control Standard Owner' for each Executive Branch Agency. This designee shall evaluate, no less than annually, that their agency is in compliance with the published Control Standard

**Roles**

All Personnel

**Responsibilities**

Agency personnel shall be named Control Standard Owner(s) for the Policies and Standards that apply across Executive Branch agencies. Evaluation of controls shall be submitted to IOT no less than annually. IOT is responsible for reviewing the body of policy on an annual basis.

**Management Commitment**

Management shall ensure that Control Standards are evaluated for compliance commensurate with the minimum requirements of this control standard.

**Coordination Among Organizational Entities**

Agencies shall coordinate with IOT in completing evaluation of Control Standards, as well as development of any Tier 2 Control

Standard (agency specific).

## **Compliance**

Control Standards that are past one year since the last updated date will be out of compliance and require immediate action from Control Standard Owners. Escalations will be discussed in the Policy Management Committee meetings until resolved.

## **Exceptions**

No exceptions.